REVIEW

Factors infuencing the cardiometabolic response to (poly)phenols and phytosterols: a review of the COST Action POSITIVe activities

Eileen R. Gibney1 · Dragan Milenkovic2 · Emilie Combet3 · Tatjana Ruskovska4 [·](http://orcid.org/0000-0002-4300-4891) Arno Greyling5 · Antonio González‑Sarrías6 [·](http://orcid.org/0000-0002-3407-0678) Baujke de Roos⁷ [·](http://orcid.org/0000-0002-2750-3914) Francisco Tomás‑Barberán⁶ · Christine Morand[2](http://orcid.org/0000-0001-8128-1032) · Ana Rodriguez‑Mateos[8](http://orcid.org/0000-0003-3242-402X)

Received: 11 April 2019 / Accepted: 8 July 2019 © The Author(s) 2019

Abstract

Purpose Evidence exists regarding the benefcial efects of diets rich in plant-based foods regarding the prevention of cardiometabolic diseases. These plant-based foods are an exclusive and abundant source of a variety of biologically active phytochemicals, including polyphenols, carotenoids, glucosinolates and phytosterols, with known health-promoting efects through a wide range of biological activities, such as improvements in endothelial function, platelet function, blood pressure, blood lipid profle and insulin sensitivity. We know that an individual's physical/genetic makeup may infuence their response to a dietary intervention, and thereby may infuence the beneft/risk associated with consumption of a particular dietary constituent. This inter-individual variation in responsiveness has also been described for dietary plant bioactives but has not been explored in depth. To address this issue, the European scientifc experts involved in the COST Action POSITIVe systematically analyzed data from published studies to assess the inter-individual variation in selected clinical biomarkers associated with cardiometabolic risk, in response to the consumption of plant-based bioactives (poly)phenols and phytosterols. The present review summarizes the main fndings resulting from the meta-analyses already completed.

Results Meta-analyses of randomized controlled trials conducted within POSITIVe suggest that age, sex, ethnicity, pathophysiological status and medication may be responsible for the heterogeneity in the biological responsiveness to (poly) phenol and phytosterol consumption and could lead to inconclusive results in some clinical trials aiming to demonstrate the health efects of specifc dietary bioactive compounds. However, the contribution of these factors is not yet demonstrated consistently across all polyphenolic groups and cardiometabolic outcomes, partly due to the heterogeneity in trial designs, low granularity of data reporting, variety of food vectors and target populations, suggesting the need to implement more stringent reporting practices in the future studies. Studies investigating the efects of genetic background or gut microbiome on variability were limited and should be considered in future studies.

Conclusion Understanding why some bioactive plant compounds work efectively in some individuals but not, or less, in others is crucial for a full consideration of these compounds in future strategies of personalized nutrition for a better prevention of cardiometabolic disease. However, there is also still a need for the development of a substantial evidence-base to develop health strategies, food products or lifestyle solutions that embrace this variability.

Keywords Diet · Bioactive · Plant · Variation · Cardiometabolic diseases · Metabolism · Microbiome · Metabotype · Response · Gene expression · Health

Extended author information available on the last page of the article

Introduction

Many societies struggle with the societal and economic consequences of the rise in cardiometabolic diseases (CMD), including heart disease, stroke and type 2 diabetes mellitus (T2DM*)* [\[1](#page-7-0)]. Poor dietary habits are recognized as a major determinant of risk of CMD [[2](#page-7-1)] and focus on the promotion of healthful diets has been identifed by policymakers as a cornerstone for public health strategies. From a number of population-based and intervention studies, a consensus has emerged on the beneficial effects of a balanced diet, rich in plant-based foods for the prevention of obesity, diabetes, and cardiovascular disease $[3-5]$ $[3-5]$ $[3-5]$. Thus far, recommendations for plant foods are promoted at a population level in a "one-size fts-all approach", which does not necessarily ensure that everyone is adequately exposed to and beneft from the protective constituents provided by these foods. In addition to providing low energy and essential micronutrients, plant-based foods are exclusive and abundant sources of a variety of biologically active phytochemicals with known health-promoting effects [\[6](#page-8-2)]. These bioactive compounds include (poly)phenols (i.e., favonoids, phenolic acids, ellagitannins), carotenoids, glucosinolates and phytosterols (plant sterols and stanols), known to display a wide range of biological activities linked to the prevention of a broad range of chronic diseases [[7–](#page-8-3)[10\]](#page-8-4). A growing body of evidence indicates that increased intake of these bioactive compounds, especially (poly)phenols and phytosterols, may help to reduce the risk of CMD [\[11](#page-8-5)–[13\]](#page-8-6). For example, the lipid-lowering efects of phytosterols have been extensively studied and reviewed in a meta-analysis, showing that daily consumption of plant sterol-enriched foods lowers total serum and low-density lipoprotein (LDL) cholesterol levels [[14\]](#page-8-7). This effect is mediated by competitive inhibition of cholesterol absorption and transcriptional induction of genes involved in the intestinal and hepatic metabolism of cholesterol [\[15\]](#page-8-8). Some physiological efects with implications for cardiometabolic health attributed to (poly)phenols include improvements in endothelial function, platelet function, blood pressure, blood lipid profle and insulin sensitivity [[16,](#page-8-9) [17\]](#page-8-10). The underlying mechanisms of action are thought to be related to the ability of (poly)phenols to modulate oxidative processes and infammation regulating cell signaling, insulin resistance, glucose and lipid metabolism amongst other; most of these modulations being mediated by changes in gene expression [\[18](#page-8-11), [19\]](#page-8-12). More recently, these compounds have been proven to have modulatory properties on the gut microbial ecology with potential repercussions on metabolic health [[20,](#page-8-13) [21\]](#page-8-14).

Research to date has shown that an individual physical/ genetic makeup infuences their response to dietary interventions, and thereby may infuence the beneft/risk associated with consumption of a particular dietary constituent [[22](#page-8-15)]. Whilst still poorly specifcally explored, this inter-individual variation in responsiveness is considered to be of particular relevance for dietary plant bioactives [[19,](#page-8-12) [23](#page-8-16), [24\]](#page-8-17). In addition to physical and genetic efects, the infuence of the gut microbiota on the biological efect is also of interest, as it is known to be extensively involved in the metabolism of a number of plant bioactives [[25](#page-8-18)]. Together with genetic background and gut microbiome, other factors such as age, sex, lifestyle (diet, smoking, physical activity), ethnicity, pathophysiological status and medication could also be responsible for the heterogeneity in the biological responsiveness to plant food bioactives consumption, and could lead to inconclusive results in some clinical trials aiming to demonstrate the health efects of specifc dietary bioactive compounds $[26]$ (Fig. [1](#page-2-0)).

A clear understanding of why some bioactive plant compounds work efectively in some individuals but not, or less, in others is crucial for a full consideration of these compounds in future strategies of personalized nutrition for a better prevention of CMD in the long run. To address this issue, the European scientifc experts involved in the COST Action POSITIVe systematically analyzed data from published studies to assess the inter-individual variation in selected clinical biomarkers associated with cardiometabolic risk, in response to the consumption of plant-based bioactives including flavanols, flavonols, anthocyanins,

Fig. 1 Factors infuencing inter-individual variability in cardiometabolic response to plant food bioactive consumption

ellagitannins, and plant sterols. The aim of this review is to summarize the fndings obtained regarding identifcation of potential factors involved in the inter-individual variability in response to (poly)phenols and phytosterols in the context of cardiometabolic disease risk.

Factors involved in the variability in cardiometabolic response

In each meta-analysis, analyses were frst carried out at a total population level, and subsequently at subgroup level (e.g., sex, body mass index (BMI), age, disease, medication, ethnicity) to identify the main factors responsible for between-subject variation beyond bioavailability. The network also performed a systematic analysis of nutrigenomic data available, to identify the cellular and molecular targets involved in the effects of plant food bioactives on cardiometabolic outcomes. The present review summarizes the main fndings resulting from this extensive body of work together with the needs and recommendations for future research.

Existing clinical data collected for cardiometabolic risk biomarkers such as blood lipids, blood pressure and BMI show large variations among randomized controlled trials (RCTs) carried out in diferent countries, from individuals with diferent lifestyles, ethnicity, age, sex, and physiological/health status, etc. This is further complicated by the variability in the source/form of administration of these plant food bioactives, and duration of the exposure [\[23,](#page-8-16) [27\]](#page-8-20). In

this framework, the COST Action FA1403 POSITIVe has delivered, for the frst time, focused meta-analyses assessing inter-individual variation in physiological responses linked to selected cardiometabolic endpoints after consumption of plant food bioactives. A key focus of these meta-analyses was to identify (1) groups of the population (stratifed by age, sex, ethnicity, BMI, health status, among other parameters) which better respond to (and, therefore, beneft from) the bioactive, and (2) which factors are driving this association beyond genetic polymorphisms and gut microbiota composition. Searches for published RCT were carried out following a registered protocol, stratifying for individual bioactives (registration number: CRD42016037074). This summary will focus on published meta-analyses, examining the efect of favonols [\[28](#page-8-21)], favanols [\[29\]](#page-8-22), anthocyanin and ellagitannin-containing products [\[30\]](#page-8-23) on selected biomarkers of cardiometabolic risk, including blood lipids, blood pressure, endothelial function, glucose homeostasis and anthropometric parameters.

The first meta-analysis examined the effects of various factors on the variability in the responses to their consumption of favanol-containing tea, cocoa and apple products, where data from 120 RCTs involving 5931 individuals was examined [[29](#page-8-22)]. Selected biomarkers of cardiometabolic risk including body mass index (BMI), waist circumference (WC), total cholesterol (TC), LDL-cholesterol (LDL-c), HDL-cholesterol (HDL-c), and triacylglycerides (TAGs) were considered. Overall, the effects on BMI, WC, total cholesterol and LDL-c appear to be statistically signifcant in

subgroups of people with overweight/obesity (BMI>25 kg/ m²). However, there was a lack of evidence to draw conclusions with respect to the infuence of certain factors including smoking status, country where the trial was conducted and sex, where the results were inconclusive; with one exception regarding TC which was reduced only in women . [\[29\]](#page-8-22). Within this meta-analysis, a smaller selection of trials reported outcome variables linked to glucose homeostasis. Despite the broad range of doses and durations (88–4035 mg flavanols/day; 2–26 weeks), and types of intervention, statistical heterogeneity remained low for these outcomes. The analysis highlighted a consistent small effect on insulin (standardised mean diference) (SMD − 0.25, 95% CI − 0.33; − 0.16) and HOMA-IR ((SMD) − 0.26; 95% CI − 0.36, − 0.16). Subgroup analysis showed lack of efect in those with $BMI < 25$ or male subjects only, although this may be due to low power, since many of the included trials were unpowered and heterogenous in term of the populations included, with few focusing on very specifc groups of the population (sex, or narrow age range) [[31](#page-8-24)].

Similar to favanol-containing products, the benefcial response to consumption of anthocyanin-rich (berries and red wine, 98 RCTs) and ellagitannin-rich (nuts and pomegranate, 30 RCTs) products intake on TC, diastolic blood pressure (DBP) and systolic blood pressure (SBP) were consistently observed only in people with overweight/obe-sity [\[30](#page-8-23)]. The effect of other factors analyzed such as sex, smoking status, health status or country where the study was conducted were inconsistent across the studies or were noted to require further investigation [[30](#page-8-23)].

The work focusing on RCTs administering flavonols yielded a limited number of trials examining cardiometabolic outcomes [[28\]](#page-8-21). Overall the analysis highlighted the efficacy of flavonol-focused interventions, modestly decreasing TC $(-0.10 \text{ mmol/L}; 95\% \text{ CI} - 0.20, -0.01)$, LDL-c (− 0.14 mmol/L; 95% CI − 0.21, 0.07), TAG (− 0.10 mmol/L; 95% CI − 0.18, 0.03), fasting plasma glucose (− 0.18 mmol/L; 95% CI − 0.29, − 0.08), blood pressure (SBP −4.84 mmHg; 95% CI −5.64, −4.04; DBP −3.32 mmHg; 95% CI − 4.09, − 2.55) and increasing HDL-c (0.05 mmol/L; 95% CI 0.02, 0.07). Stratifcation by age, sex, country, and health status highlighted a consistent lowering of TAG, TC and LDL-c in participants from Asian countries and decrease in LDL-c in participants with diagnosed disease or dyslipidemia, compared to healthy and normal baseline values. More consistent effect were seen with larger flavonol doses administered $(>200$ mg per day), and with pure compounds instead of foods [[28\]](#page-8-21).

Finally, the work investigating the efects of phytosterols/ phytostanols supplementation on the change in apolipoproteins, including APOA1 and APOB and its ratio, as well as on markers of infammation and endothelial dysfunction including oxidized LDL-c, fow-mediated dilatation (FMD) and plasminogen activator inhibitor 1 (PAI-1) showed a significant reduction in apo B by 0.07 g/L (95% CI – 0.07, − 0.04). This efect was dependent on the food matrix, being higher for margarine and spreads compared to dairy and other types of food matrix. The highest reduction in apo B was observed among trials giving at least 3 g/day of plant sterols/stanols (− 0.13 g/L; 95% CI – 0.25, – 0.01). Plant stanols-enriched products showed a higher decrease in apo B level (− 0.09 g/L; 95% CI − 0.12; − 0.06) than plant sterols (− 0.03 g/L; 95% CI − 0.04, − 0.02). No diferential efects were observed by sex; however, a larger decrease by 0.22 g/L in apo B was observed among studies with younger $(40 years) population. The analyses exploring the effect of$ stanols and sterols intakes and apo B/apo A1 ratio showed a 0.07 reduction among studies with fortifed dairy products. In addition, a reduction in apo B/apo A1 ratio was observed with the amount of phytosterols/phytostanols between 2 and 3 g/day. Signifcantly higher reduction in ox-LDL was observed among the studies with plant stanols supplemented margarine and spread comparing to dairy and other type of food matrix as well as among studies with participants of 40–50 years of age (effect size – 3.52; I^2 = 0.00%). No signifcant efect of plant sterols/stanols enriched food on FMD and PAI-1 was observed (data unpublished).

Another important aspect to consider is the efect of the food matrix on response to interventions. The food matrix has been shown to infuence response to nutrition interventions in several foods including plant-based foods [[32](#page-8-25), [33](#page-9-0)]. When possible, the impact of the food matrix was considered within the reported meta-analyses, whereby provision of pure compounds versus whole food or response across difering food types was considered, if that data were available. For example, examination of the efect of plant phytosterols was considered in diferent food matrices, where the authors found the efect was dependent on the food matrix, being higher for margarine and spreads compared to dairy and other types of food matrix (data unpublished) reported that more consistent efects were seen with larger flavonol doses administered $(>200$ mg per day), and with pure compounds instead of foods. These fndings indicate that a matrix effect is likely, which needs to be further investigated in future specifcally designed studies and analyses. Furthermore, factors including dietary patterns, timings of supplementation/intake and study duration should also be considered as potential factors infuencing the benefcial efect of plant food bioactives. Variability in the bioactives composition of foods tested among the studies can also be very large. Stricter dietary-controlled trials and standardization of intervention conditions could help to reduce biais that could hamper the identifcation of factors contributing to inter-individual variability.

Overall, the meta-analyses conducted succeeded in highlighting the state-of-the art in terms of the effects of (poly) phenols and phytosterols on cardiometabolic risk factors, and undertook the large task of reviewing and extracting reported factors which may drive the inter-individual variability in the response to the consumption of these bioactives. The beneficial effect ascribed to (poly)phenols is likely to be complemented by other bioactives and nutrients present in these foods (such as fber, vitamins).

Infuence of the gut microbiota on the variability in cardiometabolic response

Whilst the meta-analyses focused on demographic, clinical and physical factors infuencing variability, a growing body of evidence points to the impact of an individual's gut microbial community, both in term of composition and function, on the high inter-individual variability in the response to plant food bioactives [\[21,](#page-8-14) [24](#page-8-17)]. The gut microbiota is known to show considerable variation, infuenced by variability in enterotypes [\[34](#page-9-1)], gut microbiota diversity [[35\]](#page-9-2) and quantity of microorganisms [[35](#page-9-2), [36\]](#page-9-3), and, therefore, the gut microbiota phytochemical metabolites can difer among individuals depending on their gut microbiota composition. For example, in the last decade, inter-individual variability in gut microbiota metabolism of (poly)phenols has been reported for diferent groups. For some (poly)phenols (hesperidin, isoxantohumol, lignans, and proanthocyanidins), a continuous variation among individuals in the excretion of gut microbiome-derived metabolites has been reported rather than a simple classifcation into a responder/non-responder group or a specifc metabotype [\[37–](#page-9-4)[40](#page-9-5)]. For other groups (e.g. isofavones/equol and ellagic acid/urolithins), there is consistent evidence for the existence of clear metabotypes, defning the presence or absence of specifc gut microbiomederived metabolites that allow the assignment of individuals to specific gut metabotypes $[25, 37-41]$ $[25, 37-41]$ $[25, 37-41]$ $[25, 37-41]$. However, to date the number of clinical trials assessing cardiometabolic health effects of plant bioactives consumption, while considering inter-individual variability in the gut microbiota composition and functionality, is still very limited. Table [1](#page-5-0) shows the limited number of RCTs describing evidence of role of gut microbiota in inter-individual variability in response to plant food bioactives intake related to cardiometabolic outcomes, based on their gut microbiota-derived metabolites (metabotypes) such as equol/non-equol producer metabotypes [[42](#page-9-7), [43\]](#page-9-8) or urolithin metabotypes [[44,](#page-9-9) [45](#page-9-10)] or by their specifc gut enterotypes (i.e. Bacteroides Prevotella enterotypes [[46\]](#page-9-11). In general, the stratifcation in these studies has furthered the understanding of the diferential response to dietary phenolic compounds and can explain some of the large inter-individual variability observed in the response of individuals to these plant food bioactives reported in previous trials or meta-analyses. Whether the efects are produced by the gut microbiome-derived metabolites or the specific gut microbial community considering the metabolites as biomarkers, or perhaps by a synergistic or additive efect remain unexplored [[21,](#page-8-14) [25\]](#page-8-18).

Infuence of cell and molecular targets on variability in response: mechanisms of action

Whilst evidence exists of the variability in cardiometabolic response to consumption of plant food bioactives, it is important to both understand the molecular mechanism of action and that of the variability in response. The benefcial health effects of the plant food bioactives have long been attributed solely to their antioxidant activity, however, it is now commonly accepted that their efects are in fact more dependent on their capacity to modulate the expression of genes and proteins or induce epigenetic modifcations [[47,](#page-9-12) [48](#page-9-13)]. For example, anthocyanins prevent the development of atherosclerosis by modulating expression of genes in aorta in ApoE mice [\[47\]](#page-9-12) and can also modulate expression of genes related to endothelial cell function [\[19\]](#page-8-12). Carotenoids have been also shown to be able to modulate the expression of infammatory-related genes, such as tumor necrosis factor alpha (TNF-alpha), and interleukin 1 beta (IL-1beta) [\[49](#page-9-14)]. More recently it has been shown that favanols can simultaneously modulate diference cell regulatory pathways by afecting not only expression of genes, but also that of proteins and microRNAs, together with changing DNA methylation profles [[50\]](#page-9-15).

To examine the existing evidence, a systematic analysis of the reported genomic efects of specifc plant food bioactive compounds was conducted, followed by global bioinformatic analyses of the extracted data to identify key genes underlying their suggested benefcial health properties. Focusing on favanols, over 100 papers that reported modifcation in gene expression following exposure to favonoids from apple, tea, cocoa or grape seed were identifed. For in vitro studies, attention was paid to select only publications that have used plant food bioactives at physiologically achievable concentrations, and exclude those using non-physiologically relevant forms and concentrations. Using this approach, about 150 genes with reported expression modulated by favanols in vitro and in vivo were identifed. Among these, TNF-alpha, fatty acid synthase (FASN), monocyte chemoattractant protein-1 (CCL2), interleukin 6 (IL6), peroxisome proliferator-activated receptor alpha (PPAR-alpha) and peroxisome proliferator-activated receptor gamma (PPAR-gamma) were most commonly observed, suggesting that these genes play an important role in the cardiometabolic health properties of favanols (unpublished data).

Once identifed, the genes modulated by favanols were then analyzed for protein–protein interactions, with the aim to identify genes in the nodes of the interaction network. Using this approach, we identifed several protein nodes including TNF-alpha, IL6, NDUFAB1, ACACA, TLR4 and P65, some of them having interactions with over 50 proteins. Bioinformatic analyses allowed us further to identify cellular pathways in which diferentially expressed genes are involved, which include PPAR-signaling pathway, TNF-signaling pathway, insulin-signaling pathway, leukocyte transendothelial migration and NF-kappa B-signaling pathway (manuscript in preparation). Whilst these results are interesting and will further the understanding of this feld, it should be mentioned that there are probably other genes and pathways underlying the health effects of flavanols that were not identifed, as most of the studies have used targeted approach and evaluated expression of a few specifc genes, thus inducing bias in the interpretation of data. Bioinformatic analyses also allowed us to identify transcription factors involved in the genomic modifcations induced by favanols. Among the most signifcant ones identifed are SP1, PPARa, STAT3, NF-kB and c-myc. The activity of these transcription factors could be modulated by binding of plant food bioactives to them or to cell-signaling proteins and receptors involved in diferent cellular signaling pathways [\[19](#page-8-12)].

Analyses of genomic data by bioinformatic tools allowed us to identify key genes involved in health efects of plant food bioactives, including genes coding for cell-signaling proteins or transcription factors. Due to the key role in cardiometabolic health efects of these bioactives, it could be suggested that polymorphisms in these genes would afect gene-bioactive interaction and consequently biological responsiveness to their intake. Taking TNF-alpha as an example, a search of SNP databases [Variation Viewer ([https://www.ncbi.nlm.nih.gov/variation/view/overview\)](https://www.ncbi.nlm.nih.gov/variation/view/overview) or Ensembl genome database [\(https://www.ensembl.org/](https://www.ensembl.org/index.html) [index.html](https://www.ensembl.org/index.html))] showed several hundreds of SNPs in this gene, some of which were insertion or deletion of bases in the DNA, missense mutations, mutations in 5′ UTR region which encompass the promoter region of the gene, frameshift or nonsense/stop gained, with 23 SNPs with a minor allele frequency of > 0.1 or nearly 60 with minor allele frequency > 0.05 . Some of these SNPs were previously identifed as associated with high or low blood pressure using genome-wide association studies, as identifed from genome-wide association studies (GWAS) Catalog database [\(https://www.ebi.ac.uk/gwas/\)](https://www.ebi.ac.uk/gwas/). Furthermore, several SNPs were observed to be associated with known variability in the efects of certain drugs [as observed using Pharmacogenomics Knowledgebase [\(https://www.pharmgkb.org/\)](https://www.pharmgkb.org/)] and nutrients [[51,](#page-9-16) [52](#page-9-17)]. Taken together, this strategy of global analysis of genomic efects of plant food bioactives, followed by bioinformatic analyses and search of SNPs databases, allows identifcation of key genes and polymorphisms potentially involved in inter-individual variability in biological responsiveness to intake of plant food bioactives, which needs to be verifed in future nutrigenetic studies.

Future work and study design

Whilst the work summarized in this review contributes greatly to the scientifc evidence supporting the benefcial efect of plant-based bioactives on risk of CMD, it also raises several issues related to the reporting of existing work and future study designs. First in conducting the meta-analyses, we found large discrepancies in reporting quality between the studies considered, with no consensus for population description, or descriptive statistics used. As such there is scope for the nutrition community to adopt a consensus when describing trial participant characteristics, to enable full appraisal of the study fndings, with respect to inter-individual variability of response. Welch et al. (2011), as part of an ILSI taskforce, published guidelines for the design, conduct and reporting of human intervention studies to evaluate the health benefts of foods [\[53\]](#page-9-18). In this paper, the authors also underlined the importance to consider, and overcome by an adapted study design, the biological variability of the biomarkers measured. This variability, which may have several origins (including among others, genetics, circadian or seasonal variation, female menstrual cycle), can also introduce systematic biais into results [\[53](#page-9-18)]. Within the COST Action POSITIVe, this area has been further considered to develop recommendations specifcally for the reporting of results which would allow future assessment of factors infuencing variation. Addressing the requirement for reporting of results at the onset of a study design will also increase the ability of future studies to be pooled to examine variation in interindividual variation across and within various population groups, using statistical approaches to identify responders and non-responders [[54–](#page-9-19)[56](#page-9-20)]. The work within the COST Action POSITIVe also highlighted the need to conduct trials within well-defned study populations, in terms of age, sex, ethnicity or health status. Further RCTs, designed to phenotype individuals upon consumption of plant food bioactives are required to fully comprehend the factors afecting interindividual responses and thereby improve their efficacy in the prevention of cardiometabolic disorders. Conducting this work highlighted the fact that some subgroups of the population receive relatively less research attention, translating to a weaker evidence-base regarding the efectiveness of dietary interventions for some groups compared to others, thus limiting the scope of analyses and interpretation of fndings.

Designing specifc studies to examine efect both within and between specifc groups from the outset will ensure that studies are adequately powered to examine efect of bioactives across specific groups within a population. Such studies are challenging as they will require screening and/or targeted recruitment, for a specifc phenotypic or genotypic characteristic, but will add signifcantly to the evidence base that will allow idenfcation of factors infuencing inter-individual variability. This approach is timeconsuming but allows the correct interpretation of factors infuencing response. For example, work examining the nutrient–gene interaction of methylene tetra-hydrofolate reductase (MTHFR) and vitamin B2 (riboflavin) consumption, using a targeted recruitment approach, elucidated the fact that ribofavin supplementation was successful in reducing BP in hypertensive individuals with the MTHFR 677TT genotype only (compared to MTHFR 677CC, or CT genotypes), more efectively than pharmacological treatment indicating the potential for a personalized approach to the management of hypertension in this genetically at-risk group [\[57\]](#page-9-21).

What do these fndings mean for clinicians, nutritionists, and food industry?

The translational value of the work carried out by the COST Action POSITIVe has important implications for public health, clinical nutrition and the food industry. At present, the emerging evidence highlights the preventive, and sometime therapeutic, potential of (poly)phenols intake—with effect size ranging from small to moderate on markers of CMD. Of particular interest, our fndings confrm that major factors shape the inter-individual variability in the response to (poly)phenolics—these included sex, BMI and baseline cardiometabolic markers, among others. However, these fndings need cautious interpretation. Such fndings are based only on existing published data, where gaps were identifed, for example the degree of characterisation of the gut microbiota, or even bioavailability. Furthermore, the fndings were consistent in groups we would expect to beneft, for example people with overweight/obesity and those clinically compromised. Although we see response in such groups, the contribution of these factors is not yet demonstrated consistently across all (poly)phenols subclasses and cardiometabolic outcomes, partly due to the heterogeneity in trial designs, low granularity of data reporting, variety of food vectors (and food matrices) and target populations, and potentially through biological mediation of responses.

We anticipate that, as the feld evolves and implements more stringent reporting practices, factors identifed infuencing response could guide diferential dietary advice in subgroups that would beneft most. Issues with respect to regulatory aspects, including labeling and health claims need to be addressed, however. There is also still a need for the development of a substantial evidence-base to develop health strategies, food products or lifestyle solutions that embrace this variability and will be accepted by consumers and health care professionals [[58\]](#page-9-22). Nonetheless, this area could prove to be an important intersection between industry and public health, since it has been demonstrated that personalization results in better engagement than generic advice [\[59](#page-9-23)].

However, before the physiological and demographic factors identifed in the COST Action's meta-analyses can be applied in the further development of personalized nutritional advice or targeted products in the market, there is a need to determine the extent in which these factors contribute to inter-individual variation compared to behavioral and other contextual factors. In addition, there is a need for adequately powered studies (and/or individual data metaanalyses) to confrm the difering impact of the consumption of plant food bioactives within specifc population groups, identifed in the Action's meta-analyses on inter-individual variation in responses to bioactives.

Overall, the fndings and approach taken within the work summarized here demonstrates that inter-individual variation does infuence the response to consumption of food bioactive compounds. If properly understood this could be used for targeted or personalized recommendations and/or development of food products for specifc population groups.

Acknowledgements This article is based upon work from COST Action FA1403 POSITIVe (Interindividual variation in response to consumption of plant food bioactives and determinants involved) supported by COST (European Cooperation in Science and Technology; www.cost.eu). The authors also acknowledge all the partners involved in working group 2 of the COST Action POSITIVe.

Funding COST (European Cooperation in Science and Technology) Action FA1403.

Compliance with ethical standards

Conflict of interest The authors declare that they have no confict of interest.

Open Access This article is distributed under the terms of the Creative Commons Attribution 4.0 International License ([http://creativeco](http://creativecommons.org/licenses/by/4.0/) [mmons.org/licenses/by/4.0/](http://creativecommons.org/licenses/by/4.0/)), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made.

References

- 1. WHO (2018) Noncommunicable diseases country profles. WHO, Geneva
- 2. Mozafarian D, Benjamin EJ, Go AS, Arnett DK, Blaha MJ, Cushman M, Das SR, de Ferranti S, Després JP, Fullerton HJ, Howard VJ (2016) Heart disease and stroke statistics—2016

update a report from the American Heart Association. Circulation 133(4):e38–e48

- 3. Satija A, Bhupathiraju SN, Rimm EB, Spiegelman D, Chiuve SE, Borgi L, Willett WC, Manson JE, Sun Q, Hu FB (2016) Plant-based dietary patterns and incidence of type 2 diabetes in US men and women: results from three prospective cohort studies. PLoS Med 13(6):1
- 4. Aune D, Giovannucci E, Bofetta P, Fadnes LT, Keum N, Norat T, Greenwood DC, Riboli E, Vatten LJ, Tonstad S (2017) Fruit and vegetable intake and the risk of cardiovascular disease, total cancer and all-cause mortality—a systematic review and dose– response meta-analysis of prospective studies. Int J Epidemiol 46(3):1029–1056
- 5. Katz D, Meller S (2014) Can we say what diet is best for health? Annu Rev Public Health 35:83–103
- 6. Dillard CJ, German JB (2000) Phytochemicals: nutraceuticals and human health. J Sci Food Agric 80(12):1744–1756
- 7. Dinkova-Kostova AT, Kostov RV (2012) Glucosinolates and isothiocyanates in health and disease. Trends Mol Med 18(6):337–347
- 8. Barros MP, Rodrigo MJ, Zacarias L (2018) Dietary carotenoid roles in redox homeostasis and human health. J Agric Food Chem 66(23):5733–5740
- 9. Del Rio D, Rodriguez-Mateos A, Spencer JP, Tognolini M, Borges G, Crozier A (2013) Dietary (poly) phenolics in human health: structures, bioavailability, and evidence of protective efects against chronic diseases. Antioxidants Redox Signal 18(14):1818–1892
- 10. Holst B, Williamson G (2008) Nutrients and phytochemicals: from bioavailability to bioefficacy beyond antioxidants. Curr Opin Biotechnol 19(2):73–82
- 11. Liu XM, Liu YJ, Huang Y, Yu HJ, Yuan S, Tang BW, Wang PG, He QQ (2017) Dietary total favonoids intake and risk of mortality from all causes and cardiovascular disease in the general population: a systematic review and meta-analysis of cohort studies. Mol Nutr Food Res 61(6):1601003
- 12. Rienks J, Barbaresko J, Oluwagbemigun K, Schmid M, Nöthlings U (2018) Polyphenol exposure and risk of type 2 diabetes: dose–response meta-analyses and systematic review of prospective cohort studies. Am J Clin Nutr 108(1):49–61
- 13. Shen J, Wilmot KA, Ghasemzadeh N, Molloy DL, Burkman G, Mekonnen G, Gongora MC, Quyyumi AA, Sperling LS (2015) Mediterranean dietary patterns and cardiovascular health. Annu Rev Nutr 35:425–449
- 14. Demonty I, Ras RT, van der Knaap HC, Duchateau GS, Meijer L, Zock PL, Geleijnse JM, Trautwein EA (2008) Continuous dose–response relationship of the LDL-cholesterol—lowering efect of phytosterol intake. J Nutr 139(2):271–284
- 15. Calpe-Berdiel L, Escolà-Gil JC, Blanco-Vaca F (2009) New insights into the molecular actions of plant sterols and stanols in cholesterol metabolism. Atherosclerosis 203(1):18–31
- 16. Habauzit V, Morand C (2012) Evidence for a protective efect of polyphenolscontaining foods on cardiovascular health: an update for clinicians. Ther Adv Chronic Dis 3:87–106
- 17. Cicero AF, Fogacci F, Colletti A (2017) Food and plant bioactives for reducing cardiometabolic disease risk: an evidence based approach. Food Funct 8(6):2076–2088
- 18. Kerimi A, Williamson G (2016) At the interface of antioxidant signalling and cellular function: key polyphenol effects. Mol Nutr Food Res 60(8):1770–1788
- 19. Krga I, Milenkovic D, Morand C, Monfoulet LE (2016) An update on the role of nutrigenomic modulations in mediating the cardiovascular protective effect of fruit polyphenols. Food Funct 7(9):3656–3676
- 20. Cardona F, Andrés-Lacueva C, Tulipani S, Tinahones FJ, Queipo-Ortuño MI (2013) Benefits of polyphenols on gut

microbiota and implications in human health. J Nutr Biochem 24(8):1415–1422

- 21. Espín JC, González-Sarrías A, Tomás-Barberán FA (2017) The gut microbiota: a key factor in the therapeutic efects of (poly) phenols. Biochem Pharmacol 139:82–93
- 22. Tierney AC, McMonagle J, Shaw DI, Gulseth HL, Helal O, Saris WHM, Paniagua JA, Gołąbek-Leszczyñska I, Defoort C, Williams CM, Karsltröm B (2011) Effects of dietary fat modification on insulin sensitivity and on other risk factors of the metabolic syndrome—LIPGENE: a European randomized dietary intervention study. Int J Obes 35(6):800
- 23. Manach C, Milenkovic D, Van de Wiele T, Rodriguez-Mateos A, De Roos B, Garcia-Conesa MT, Landberg R, Gibney ER, Heinonen M, Tomás-Barberán F, Morand C (2017) Addressing the inter-individual variation in response to consumption of plant food bioactives: towards a better understanding of their role in healthy aging and cardiometabolic risk reduction. Mol Nutr Food Res 61(6):1600557
- 24. Cassidy A, Minihane AM (2016) The role of metabolism (and the microbiome) in defining the clinical efficacy of dietary flavonoids. Am J Clin Nutr 105(1):10–22
- 25. Tomás-Barberán FA, Selma MV, Espín JC (2016) Interactions of gut microbiota with dietary polyphenols and consequences to human health. Curr Opin Clin Nutr Metab Care 19(6):471–476
- 26. Milenkovic D, Morand C, Cassidy A, Konic-Ristic A, Tomás-Barberán F, Ordovas JM, Kroon P, De Caterina R, Rodriguez-Mateos A (2017) Interindividual variability in biomarkers of cardiometabolic health after consumption of major plant-food bioactive compounds and the determinants involved. Adv Nutr 8(4):558–570
- 27. Bayram B, González-Sarrías A, Istas G, Garcia-Aloy M, Morand C, Tuohy K, García-Villalba R, Mena P (2018) Breakthroughs in the health efects of plant food bioactives: a perspective on microbiomics, nutri (epi) genomics, and metabolomics. J Agric Food Chem 66(41):10686–10692
- 28. Menezes R, Rodriguez-Mateos A, Kaltsatou A, González-Sarrías A, Greyling A, Giannaki C, Andres-Lacueva C, Milenkovic D, Gibney E, Dumont J, Schär M, Garcia-Aloy A, Palma-Duran SA, Ruskovska T, Maksimova V, Combet E, Pinto P (2017) Impact of favonols on cardiometabolic biomarkers: a meta-analysis of randomized controlled human trials to explore the role of interindividual variability. Nutrients 9(2):117
- 29. González-Sarrías A, Combet E, Pinto P, Mena P, Dall'Asta M, Garcia-Aloy M, Rodríguez-Mateos A, Gibney ER, Dumont J, Massaro M, Sánchez-Meca J, Morand C, Garcia-Conesa MT (2017) A systematic review and meta-analysis of the efects of favanol-containing tea, cocoa and apple products on body composition and blood lipids: exploring the factors responsible for variability in their efficacy. Nutrients $9(7)$:1
- 30. García-Conesa MT, Chambers K, Combet E, Pinto P, Garcia-Aloy M, Andrés-Lacueva C, de Pascual-Teresa S, Mena P, Konic Ristic A, Hollands W, Kroon P, Rodríguez-Mateos A, Istas G, Kontogiorgis CA, Rai DK, Gibney ER, Morand C, Espín JC, González-Sarrías A (2018) Meta-analysis of the efects of foods and derived products containing ellagitannins and anthocyanins on cardiometabolic biomarkers: analysis of factors infuencing variability of the individual responses. Int J Mol Sci 19(3):694
- 31. Alshammari N, Palma-Duran SA, Jiang G, González-Sarrías A, Pinto P, Garcia-Aloy M, Gibney E, García-Conesa MT, Kroon P, Ristić AK, Katsaltou A, Morand C, Rodriguez-Mateos A, Combet E (2018) A systematic review and meta-analysis of randomized controlled trials exploring the role of inter-individual variability on the efect of favanols on insulin and HOMA-IR. Proc Nutr Soc 77:OCE2
- 32. Livny O, Reifen R, Levy I, Madar Z, Faulks R, Southon S, Schwartz B (2003) Beta-carotene bioavailability from diferently

processed carrot meals in human ileostomy volunteers. Eur J Nutr 42(6):338–345

- 33. Hedren E, Diaz V, Svanberg U (2002) Estimation of carotenoid accessibility from carrots determined by an in vitro digestion method. Eur J Clin Nutr 56(5):425–430
- 34. Arumugam M, Raes J, Pelletier E, Le Paslier D, Yamada T, Mende DR, Fernandes GR, Tap J, Bruls T, Batto JM, Bertalan M (2011) Enterotypes of the human gut microbiome. Nature 473(7346):174
- 35. Yatsunenko T, Rey FE, Manary MJ, Trehan I, Dominguez-Bello MG, Contreras M, Magris M, Hidalgo G, Baldassano RN, Anokhin AP, Heath AC (2012) Human gut microbiome viewed across age and geography. Nature 486(7402):222
- 36. Vandeputte D, Kathagen G, Dhoe K, Vieira-Silva S, Valles-Colomer M, Sabino J, Wang J, Tito RY, De Commer L, Darzi Y, Vermeire S (2017) Quantitative microbiome profling links gut community variation to microbial load. Nature 551(7681):507
- 37. Rowland IR, Wiseman H, Sanders TA, Adlercreutz H, Bowey EA (2000) Interindividual variation in metabolism of soy isofavones and lignans: infuence of habitual diet on equol production by the gut microfora. Nutr Cancer 36(1):27–32
- 38. Bolca S, Possemiers S, Herregat A, Huybrechts I, Heyerick A, De Vriese S, Verbruggen M, Depypere H, De Keukeleire D, Bracke M, De Henauw S (2007) Microbial and dietary factors are associated with the equol producer phenotype in healthy postmenopausal women. J Nutr 137(10):2242–2246
- 39. Aschoff JK, Riedl KM, Cooperstone JL, Högel J, Bosy-Westphal A, Schwartz SJ, Carle R, Schweiggert RM (2016) Urinary excretion of Citrus favanones and their major catabolites after consumption of fresh oranges and pasteurized orange juice: a randomized cross-over study. Mol Nutr Food Res 60(12):2602–2610
- 40. Vallejo FL, Escudero E, Zafrilla MP, Cerdá B, Boza J, García-Conesa MT, Espín JC, Tomás-Barberán FA (2010) The concentration and solubility of favanones in orange beverages afect their bioavailability in humans. J Agri Food Chem 58:6516–6524
- 41. Rowland I, Gibson G, Heinken A, Scott K, Swann J, Thiele I, Tuohy K (2018) Gut microbiota functions: metabolism of nutrients and other food components. Eur J Nutr 57(1):1–24
- 42. Kreijkamp-Kaspers S, Kok L, Bots ML, Grobbee DE, Lampe JW, van der Schouw YT (2005) Randomized controlled trial of the efects of soy protein containing isofavones on vascular function in postmenopausal women. Am J Clin Nutr 81(1):189–195
- 43. Hazim S, Curtis PJ, Schär MY, Ostertag LM, Kay CD, Minihane AM, Cassidy A (2016) Acute benefts of the microbial-derived isofavone metabolite equol on arterial stifness in men prospectively recruited according to equol producer phenotype: a doubleblind randomized controlled trial. Am J Clin Nutr 103(3):694–702
- 44. González-Sarrías A, Espín JC, Tomás-Barberán FA (2017) Nonextractable polyphenols produce gut microbiota metabolites that persist in circulation and show anti-infammatory and free radicalscavenging efects. Trends Food Sci Technol 69:281–288
- 45. Istas G, Feliciano RP, Weber T, Garcia-Villalba R, Tomas-Barberan F, Heiss C, Rodriguez-Mateos A (2018) Plasma urolithin metabolites correlate with improvements in endothelial function after red raspberry consumption: a double-blind randomized controlled trial. Arch Biochem Biophys 651:43–51
- 46. Kang C, Zhang Y, Zhu X, Liu K, Wang X, Chen M, Wang J, Chen H, Hui S, Huang L, Zhang Q (2016) Healthy subjects differentially respond to dietary capsaicin correlating with specifc gut enterotypes. J Clin Endocrinol Metab 101(12):4681–4689
- 47. Mauray A, Felgines C, Morand C, Mazur A, Scalbert A (2012) Milenkovic D (2012) Bilberry anthocyanin-rich extract alters expression of genes related to atherosclerosis development in aorta of apo E-defcient mice. Nutr Metab Cardiovasc Dis 22(1):72–80
- 48. Han H, Cui W, Wang L, Xiong Y, Liu L, Sun X, Hao L (2015) Lutein prevents high fat diet-induced atherosclerosis in ApoEdeficient mice by inhibiting NADPH oxidase and increasing PPAR expression. Lipids 50(3):261–273
- 49. Bai SK, Lee SJ, Na HJ, Ha KS, Han JA, Lee H, Kwon YG, Chung CK, Kim YM (2005) β-Carotene inhibits infammatory gene expression in lipopolysaccharide-stimulated macrophages by suppressing redox-based NF-κB activation. Exp Mol Med 37(4):323
- 50. Milenkovic D, Berghe WV, Morand C, Claude S, Van de Sandt A, Gorressen S, Monfoulet LE, Chirumamilla CS, Declerck K, vel Szic KS (2018) A systems biology network analysis of nutri (epi) genomic changes in endothelial cells exposed to epicatechin metabolites. Sci Rep 2018:8
- 51. deLuis DA, Aller R, Izaola O, Sagrado MG, Conde R (2013) Role of G308 promoter variant of tumor necrosis factor alpha gene on weight loss and metabolic parameters after a high monounsaturated versus a high polyunsaturated fat hypocaloric diets. Med Clin 141(5):189–193
- 52. Oki E, Norde MN, Carioca AA, Souza JM, Castro IA, Marchioni DM, Fisberg RM, Rogero MM (2017) Polymorphisms of the TNF-α gene interact with plasma fatty acids on infammatory biomarker profle: a population-based, cross-sectional study in São Paulo, Brazil. Br J Nutr 117(12):1663–1673
- 53. Welch RW, Berta JL, Bub A, de Vries J, Guarner F, Hasselwander O, Hendriks H, Jakel M, Koletzko BV, Patterson CC, Richelle M, Skarp M, Theis S, Vidry S, Woodside JV (2011) Guidelines for the design, conduct and reporting of human intervention studies to evaluate the health benefts of foods. Br J Nutr 106(S2):S3–S15
- 54. Kirwan L, Celis-Morales C, Marsaux CF, Livingstone KM, Navas-Carretero S, Fallaize R, O'Donovan CB, Woolhead C, Forster H, Kolossa S, Daniel H, Moschonis G, Manios Y, Surwillo A, Godlewska M, Traczyk I, Drevon CA, Gibney MJ, Lovegrove JA, Martinez JA, Saris WH, Mathers JC, Gibney ER, Brennan L (2016) Phenotypic factors infuencing the variation in response of circulating cholesterol level to personalised dietary advice in the Food4Me study. Br J Nutr 116(12):2011–2019
- 55. Morris C, O'Grada C, Ryan M, Roche HM, Gibney MJ, Gibney ER, Brennan L (2013) Identifcation of diferential responses to an oral glucose tolerance test in healthy adults. PloS one 8(8):72890
- 56. Osullivan A, Gibney MJ, Connor AO, Mion B, Kaluskar S, Cashman KD, Flynn A, Shanahan F, Brennan L (2011) Biochemical and metabolomic phenotyping in the identifcation of a vitamin D responsive metabotype for markers of the metabolic syndrome. Mol Nutr Food Res 55(5):679–690
- 57. Wilson CP et al (2013) Blood pressure in treated hypertensive individuals with the MTHFR 677TT genotype is responsive to intervention with ribofavin: fndings of a targeted randomized trial. Hypertension 61(6):1302–1308
- 58. Ordovas JM, Ferguson LR, Tai ES, Mathers JC (2018) Personalised nutrition and health. BMJ 361:k2173
- 59. Celis-Morales C, Marsaux CF, Macready AL, Fallaize R, O'Donovan CB, Woolhead C, Forster H, Walsh MC, Navas-Carretero S, San-Cristobal R, Tsirigoti L, Lambrinou CP, Mavrogianni C, Moschonis G, Kolossa S, Hallmann J, Godlewska M, Surwillo A, Traczyk I, Drevon CA, Bouwman J, van Ommen B, Grimaldi K, Parnell LD, Matthews JN, Manios Y, Daniel H, Martinez JA, Lovegrove JA, Gibney ER, Brennan L, Saris WH, Gibney M, Mathers JC (2016) Effect of personalized nutrition on health-related behaviour change: evidence from the Food4me European randomized controlled trial. Int J Epidemiol 46(2):578–588

Afliations

Eileen R. Gibney1 · Dragan Milenkovic2 · Emilie Combet3 · Tatjana Ruskovska4 [·](http://orcid.org/0000-0002-4300-4891) Arno Greyling5 · Antonio González‑Sarrías6 [·](http://orcid.org/0000-0002-3407-0678) Baujke de Roos⁷ [·](http://orcid.org/0000-0002-2750-3914) Francisco Tomás‑Barberán⁶ · Christine Morand[2](http://orcid.org/0000-0001-8128-1032) · Ana Rodriguez‑Mateos[8](http://orcid.org/0000-0003-3242-402X)

- \boxtimes Eileen R. Gibney Eileen.gibney@ucd.ie
- ¹ UCD Institute of Food and Health, School of Agriculture and Food Science, University College Dublin, Dublin, Ireland
- ² INRA, UNH, Unité de Nutrition Humaine, CRNH Auvergne, Université Clermont Auvergne, Clermont-Ferrand, France
- ³ School of Medicine, Dentistry and Nursing, College of Medical, Veterinary and Life Sciences, University of Glasgow, Glasgow, UK
- ⁴ Faculty of Medical Sciences, University "Goce Delcev"-Stip, Štip, Republic of North Macedonia
- ⁵ Unilever Research and Development Vlaardingen, Vlaardingen, The Netherlands
- ⁶ Food and Health Lab. CEBAS-CSIC, Murcia, Spain
- ⁷ The Rowett Institute, University of Aberdeen, Aberdeen, UK
- ⁸ Department of Nutritional Sciences, School of Life Course Sciences, Faculty of Life Sciences and Medicine, King's College London, London, UK